



PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2510.00

Complete if Known

Application Number	10/695,663
Filing Date	October 29, 2003
First Named Inventor	Michael G. CRISTOFALO
Examiner Name	Not Yet Assigned
Art Unit	2611
Attorney Docket No.	559442004000

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number

03-1952

Deposit Account Name

Morrison & Foerster LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims	Fee from below	Fee Paid
Total Claims		- 20 =		x	
Independent Claims		- 3 =		x	
Multiple Dependent					

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	1480.00
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1740.00

SUBMITTED BY

Name (Print/Type) Jonathan Bockman

Registration No. (Attorney/Agent)

45,640

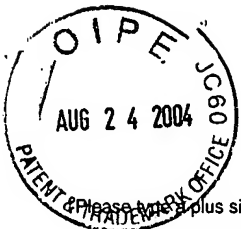
(Complete if applicable)

Telephone 703-760-7769

Signature

Date

August 24, 2004



PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/695,663
	Filing Date	October 29, 2003
	First Named Inventor	Michael G. CRISTOFALO
	Group Art Unit	2611
	Examiner Name	To Be Assigned
Total Number Of Pages In This Submission	Attorney Docket No.	559442004000

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Declaration and Power of Attorney (signed by Steven RIEDL)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Copy of Notice to File Missing Parts
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	3. Petition Under 37 CFR 1.47(a)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		4. Declaration of Scott W. Doyle
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		5. Exhibit A
		6. Exhibits 1-3
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Jonathan Bockman - Reg. No. 45,640
Signature	
Date	August 24, 2004

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Application No. (if known): 10/695,663

Attorney Docket No.: 559442004000

Certificate of Hand Delivery

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on August 24, 2004

Date

Signature

Ayisha M. Roberts

Typed or printed name of person signing Certificate

Note: The following papers are submitted:

Transmittal (1 page)
Fee Transmittal (1 page)
Petition for Extension of Time – fourth month (1 page)
Copy of Notice of Missing Parts (2 pages)
Declaration of Steven RIEDL (3 pages)
Petition under 37 CFR 1.47(a) (3 pages)
Declaration of Scott W. Doyle (2 pages)
Exhibit A: Copy of Statement Under 37 CFR 3.73(b) executed October 29, 2003 (1 page) with copy of Joint Invention Assignment executed October 29, 2003 by Michael G. CRISTOFALO (3 pages); and
Copy of Statement Under 37 CFR 3.73(b) executed October 28, 2003 (1 page) with copy of Assignment executed September 16, 2003 by Steven RIEDL (1 page)
Exhibit 1: Copy of April 15, 2004 letter from Scott W. Doyle to Michael G. CRISTOFALO enclosing Declaration for execution (5 pages with enclosure); copies of UPS labels (2 pages); copy of Internet White Page listing of address for Michael Cristofalo (1 page); and request to UPS for package tracking details and package tracking summary evidencing delivery April 16, 2004 (2 pages)
Exhibit 2: Copy of July 19, 2004 letter from Scott W. Doyle to Michael G. CRISTOFALO enclosing Declaration for execution (4 pages with enclosure); and copy of UPS shipping label and certified mail receipt, and certified mail receipts received back from the U.S. Postal Service evidencing delivery (3 pages)
Exhibit 3: Copy of August 18, 2004 letter from Scott W. Doyle to Michael G. CRISTOFALO (1 page); and copy of certified mail receipt, and certified mail receipts received back from the U.S. Postal Service evidencing delivery (2 pages)



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/695,663	10/29/2003	Michael G. Christofalo	559442800000

Scott W. Doyle
Morrison & Foerster LLP
Suite 300
1650 Tysons Boulevard
McLean, VA 22102

DOCKETED Miss. Parts

REMINDER:

DUE DATE: 4/24/04

FINAL DUE DATE: 9/24/04

AUG 24 2004

CONFIRMATION NO. 4069

FORMALITIES LETTER

OC000000011952471

Date Mailed: 02/24/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

08/25/2004 HVUONG1 00000111 031952 10695663

01 FC:1001 770.00 DA
02 FC:1051 130.00 DA

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
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